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11	Focal bone marrow defects in the jawbone
12	determined by ultrasonography – Validation of new
13	trans alveolar ultrasound technique for measuring
14	jawbone density in 210 patients
15	
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#### 43 **Abstract**

Ultrasound imaging of the jawbone is not currently used in dental medicine to 44 determine bone density. Bone marrow defects in the human jawbone (BMDJ/FDOJ) 45 are widely discussed in dentistry due to their role in implant failures and as sources of 46 inflammation in various immune diseases. The use of through-transmission alveolar 47 ultrasonography (TAU) to locate BMDJ/FDOJ was evaluated using a new TAU 48 apparatus (TAU-n). The objective was to determine whether the readings displayed by 49 TAU-n accurately indicate the clinical parameters to detect BMDJ/FDOJ. Three 50 parameters were compared with TAU-n measurements: 2D-OPG. Hounsfield units 51 (HU) using digital volume tomography and postoperatively measured levels of 52 RANTES/CCL5 (R/C) expression in BMDJ/FDOJ samples. Based on the available 53 clinical data, HU, R/C expression, and TAU-n color codes yielded consistent results 54 with respect to bone mineral density. Thus, ultrasonography with TAU-n is a reliable 55 and efficient diagnostic method to screen for BMDJ/FDOJ in dentistry. 56

57

58 Keywords: Bone marrow defects of the jaw, digital volume tomography, fatty-

59 degenerative osteolysis/osteonecrosis of the jaw, orthopantomogram, RANTES/CCL5,

60 TAU-n device, transalveolar ultrasonography.

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#### 64 Introduction

In the medical field, ultrasonography is widely used to image various types of 65 soft tissues. In principle, images of structures in the body are generated by analyzing 66 the reflection of ultrasound waves. To derive useful information concerning the status 67 of the jawbone, different ultrasound techniques must be employed as the ultrasound 68 waves are almost completely reflected at the bone/soft tissue interface. The in vivo 69 measurement of ultrasound velocity in human cortical bone was introduced as a rapid. 70 71 reliable, and noninvasive method which could be used to analyze the mechanical properties of bone (Greenfield et al., 1981). Cortical bone samples showed the highest 72 73 values, followed by mixed bone samples and cancellous bone samples, with the latter showing the lowest values (Kumar et al., 2011). Thus, guided ultrasound waves are 74 able to detect ischemic bone-marrow diseases, i.e., focal osteoporotic defects or 75 cavitations in the jawbone (Al-Nawas et al., 2001). Intraoral equipment used in guided 76 ultrasound must be minimized, however, as the area cannot be examined with 77 commonly used ultrasound apparatus. Until now, ultrasound examinations have thus 78 been of limited use in dental medicine, although they have been used to detect "focal" 79 bone defects of the jawbone, ("focal osteoporotic marrow defects"), as described in 80 previous scientific research (Kaufman and Einhorn, 1993; Lipani et al., 1982). The 81 status of cancellous bone in the jaws may be of great clinical importance. Researchers 82 have provided anatomical evidence that cancellous bone may be significantly 83 84 degenerated, a phenomenon described as "ischemic osteonecrosis leading to cavitational lesions" (Bouquot et al., 1992). 85

The authors of the present study conducted an in-depth investigation of the tissue in such lesions, which appeared as clumps of fat within intact cortical bone. This tissue was in an ischemic, fatty-degenerative state. The observed bone marrow defects of the jaw (BMDJ) were thus defined as "fatty-degenerative osteolysis/osteonecrosis of

90	the jawbone" (FDOJ). The clumps of fat found in the osteolytic jawbone are extremely
91	biochemically active and produce specific cytokines in high amounts, the most notable
92	of which is the chemokine RANTES (regulated on activation, normal T-cell expressed
93	and secreted), or more recently known as CCL5 (chemokine ligand 5; R/C). This
94	chronic R/C production may influence immunological patterns and exacerbate
95	systemic immunological diseases (Lechner and Mayer, 2010; Lechner and von Baehr,
96	2013, 2015; Lechner et al., 2017a, 2017b). The status of cancellous bone in the jaw is
97	of great importance with respect to dental implants and the success of implantology,
98	according to previous publications by other authors (Klein et al., 2008; Lee et al.,
99	2013). One of the most significant concerns associated with the treatment of this
100	condition, however, is the fact that jawbone with fatty-degenerated bone marrow does
101	not show signs of abnormal findings on X-ray examination (Lechner, 2014). Being
102	virtually undetectable on any type of commonly used two-dimensional (2D) X-ray
103	examination, the occurrence and phenomena of BMDJ/FDOJ remain widely unknown
104	and are even denied. To overcome this challenge, the use of through-transmission
105	alveolar ultrasonography (TAU) was evaluated using a new TAU apparatus (TAU-n)
106	(CaviTAU® QINNO GmbH Argelsrieder Feld 11, 82234 Wessling Germany.
107	International patent application No: PCT/EP2018/084199. CaviTAU® is approved by
108	EU medical authorities according to MDD 93/42/EWG
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112	Aim and Objectives
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114 The aim of the present study was to evaluate BMDJ/FDOJ using TAU-n and to 115 determine whether TAU-n measurements are practical and capable of promoting

quality assurance when assessing BMDJ/FDOJ. Specifically, we aimed to answer the following questions: Are conventional radiographic techniques suitable to detect osteolytic bone marrow defects in the jaw (BMDJ/FDOJ), which may display local silent inflammation? Is a newly available ultrasound device (TAU-n) for the radiationfree measurement of bone density suitable to visualize the condition of BMDJ/FDOJ presented above?

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- 124 Materials and Methods
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126 Patient Selection

All 210 patients who were enrolled in this study were seeking to uncover the 127 etiology of their respective systemic immunological diseases, specifically the 128 possibility that BMDJ/FDOJ-induced "silent inflammation" of the jawbone may be 129 involved in the pathogenesis of the disease. The samples and data were taken directly 130 from daily clinical practice at the Clinic for Integrative Dentistry (Munich, Germany). 131 Specifically, the data were obtained in the course of the patients' routine medical care 132 and were retrospectively evaluated. In cases that necessitated surgical treatment. 133 samples of BMDJ/FDOJ were postoperatively evaluated to assess the level of R/C 134 inflammatory markers. Radiographic examinations, namely 2D-OPG and DVT/CBCT, 135 136 were assessed to determine bone density and provide the appropriate medical indication for the surgical treatment of BMDJ/FDOJ in these patients. This indication 137 was supplemented by bone density measurements using TAU-n. The average age of 138 the investigation group was 53,02 years; of these patients, there were 129 women and 139 81 men. 140

The clinical case studies presented here were performed as part of a casecontrol study and were deemed to be retrospective in nature. Approval was granted by the accredited forensic institute, IMD-Berlin, (DIN EN 15189/DIN EN 17025). All patients provided their written informed consent (as outlined in the PLOS consent form) to participate in this study. Patients taking bisphosphonates were excluded from the study. All patients reported that they were not taking vitamin D supplements.

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# 148 **Preoperative Methods to Determine Bone Marrow Defects in Jawbone**

149 (BMDJ/FDOJ)

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#### 151 Determining BMDJ/FDOJ with conventional 2D-OPGs

Panoramic radiographs are routinely used in clinical dentistry. This imaging 152 153 technique is inexpensive and provides a general overview of the entire jaw and method of initial assessment of the condition of the jaw. The Orangedental PaX-i3D 154 Duo 3D Multi X-ray unit used in this study displays a "relative bone density" 155 measurement of the jawbone (rel-JBD) in the 2D-OGP Panoramix version. A red line 156 shows the measuring range. Figure 1 presents the results of this rel-JBD 157 measurement: the left image shows the relative density of an all-ceramic crown at 0.9. 158 The right image shows the relative density of a healthy area of cancellous bone at 159 0.49. 160

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162 Figure 1: Example of measurement of "relative bone density" using OPG.

163 **Notes:** The attenuation coefficients are displayed over the entire test section as a

progression curve. In the present validation, only the mean values (MV) are used.

Measurement of relative jawbone density (rel-JBD) values with an Orangedental PaXi3D Duo 3D Multi. Legend: Red lines mark the measuring range to display the "relative density" in the 2D-OPG.

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# 170 Determining BMDJ/FDOJ with 3D-CBCT/DVT

Modern X-ray methods, like digital volume tomography (DVT), allow the 171 clinician to perform a 3D assessment of the jawbone using Hounsfield units (HU), 172 which are generally scientifically recognized as a bone density assessment tool. HU 173 174 are used to describe the attenuation of X-ray radiation in tissues and this information is displayed in grayscale images. The HU scale ranges from -1,000 (attenuation 175 coefficient of air) to -120 (fat), +300 to +400 (healthy cancellous bone), and +1,800 to 176 177 +2,200 (cortical bone). Water is defined as 0 HU. Recently, methods to determine HU attenuation coefficients have become available (Norton and Gamble, 2001), as actual 178 HU values can be derived using DVT (Misch, 1999; Swennen and Schutyser, 2006). 179 Further investigations classified the density of cancellous bone in the jawbone into five 180 categories, where the poorest jawbone density was below 150 HU (class 5). In this 181 study, we used specific DVT equipment (Orangedental PaX-i3D Duo 3D Multi X-ray) 182 with the appropriate software to evaluate the density of the jawbone in HU. In 183 accordance with DIN 6868-57, the viewing monitors were set with a contrast of >40:1 184 and a brightness of at least 120 cd/m<sup>2</sup>. The Orangedental PaX-i3D Duo 3D Multi X-ray 185 machine used in this validation study showed the mean value of a randomly selected 186 measurement path, with the maximum and minimum values presented as a 187 progression curve (Figure 2). 188



Area 48-49 HU -186 bis 212 MV=13

Area 18-19 HU -568 bis -105 MV= -336.5

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Figure 2. Example of a DVT HU measurement and evaluation of BMDJ/FDOJ: The HU attenuation coefficients are shown as a curve over the measured section. In the present validation study, only the mean values (MV) are used.

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# 196 Determining BMDJ/FDOJ with TAU-n using ultrasound waves

Attenuation in the amplitude of the ultrasound wave is indicative of pathological changes in the jawbone and depends on the properties of the medium through which the wave is propagated (Mahmoud et al., 2008). Corresponding values are based on the published data from Wells (1999) and Njeh et al. (1999). TAU-n generates an ultrasound wave and passes that wave through the jawbone. This wave is produced by an extraoral transmitter and then detected and measured by a receiving unit that is positioned intraorally. Both parts (i.e., the sender and receiving unit) are fixed in a

parallel position using a single handpiece. The size of the TAU-n receiving unit is 204 configured such that it may be easily placed inside the mouth of a patient. TAU-n uses 205 91 piezoelectric elements that are arranged hexagonally. The jawbone must be 206 positioned between the two parts of the measuring unit. With respect to the parts of 207 the measuring unit to be placed inside a patient's mouth, the acoustical coupling 208 between those parts and the alveolar ridge is performed with the aid of a semi-solid 209 gel (QINNO GmbH Argelsrieder Feld 11, 82234 Wessling Germany). The contact 210 211 between the jawbone and both the extraoral ultrasound transmitter and intraoral ultrasound receiver (Figure 3) is optimized and individualized using a special 212 213 ultrasound gel cushion that was developed for this purpose. The results are shown on a color monitor that displays different colors depending on the degree of attenuation. A 214 semi-solid, single-use gel pad is used around the receiver for hygienic reasons (Figure 215 216 4).



Figure 3. Legend: (1) Handpiece with an ultrasound sender and receiver unit connected to a computer and screen. (2) Ultrasound transmitter. (3) Ultrasound receiver with 91 piezoelectric elements. Coplanar and fixed arrangement of the sender and receiver.

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Figure 4. Legend: Left panel: Positioning of the sender (outside) and receiver (intraoral) in the lower jaw; red area marks the cheek. Right panel: The sender (in blue on the right) and receiver (in green on the left) are in a fixed coplanar position (a blue bar connects the sender and receiver); semi-solid gel pads between the sender and the cheek on the outside of the mouth and between the receiver and the alveolar ridge in the intraoral position; trans-alveolar ultrasonic impulse from the sender to receiver (blue arrows).

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# 233 Color scale associated with TAU-n attenuation coefficients

Figure 5 presents the color scheme associated with the TAU-n attenuation coefficients. This scheme corresponds to an ultrasound signal strength scale (top bar) and a color scale indicating the different degrees of bone density (lower bar). This color scale shows that the colors used to indicate different densities each represent a small part of the entire signal range. Logarithmic averaging broadens the range of bone density measurements and increases the size of the area in green.

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The representations of the measurements provided by the color coding scheme are concerned with two functions. With the red/green color scale, the medically relevant area of conspicuousness bone is shown. The second color coded scale shows structural differences which serves as an orientation aid for the user for the placement of the measuring receiver. In this way, the orientation and position of the receiver may be monitored (via live display) while the measurement position is slowly adjusted before the relevant area is captured and stored.



#### Range of signal for orientation in jawbone



Figure 5. The color scale is used to indicate different degrees of density by TAU-n; gray corresponds to air (i.e., the far left of the scale), and the blue area corresponds to water (i.e., the far right of the scale). The signal strength received by the sensor (top bar) is displayed in blue and increases from dark to light with increasing density coefficients. Bone density (lower bar) is indicated by a colour scale ranging from red to green, representing the high attenuation of diminished bone density (red) and reduced attenuation with increasing density (green).

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# 259 The TAU-n display

The TAU-n display is able to capture the following physical structures in the 260 dentoalveolar region, with the corresponding color variations of 91 color columns per 261 cm<sup>2</sup>: (A) solid bone in the marginal cortical area (green or white/light blue); (B) healthy 262 medullary cancellous bone (green or white/light blue); (C) chronic inflammatory 263 medullary cancellous bone with fatty-degenerative components (red or black/dark 264 blue); (D) fatty nerve structures (yellow/ light blue); and (E) extremely dense and 265 complex structures such as teeth, implants, and crowns (green or white/light blue) 266 (Figure 6). 267



Figure 6. Example of the color coding scheme associated with attenuation used by TAU-n in area 38.

Notes: In the upper panel, the measurement of jaw areas 37 to 38/39 (i.e., the 271 retromolar area) is presented. TAU-n displays different degrees of mineralization, as 272 highlighted by the various color patterns of 91 individual sensor fields that correspond 273 274 to each jawbone area. Green: indicates hard and dense structures that correspond to 275 a higher degree of mineralization in spongial jawbone or cortical bone; green also denotes teeth, dental crowns, or implants. Yellow: indicates diminished bone density, 276 and also corresponds to the nerve canal in the lower jaw. Red: indicates severely 277 diminished bone density with a low degree of mineralization, corresponding to 278 BMDJ/FDOJ areas. 279

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283 Numerical representation of TAU-n attenuation coefficients

284 The TAU-n software numerically represents the attenuation coefficients of the TAU-n measurement range. By a mouse click on one of the 91 sensor fields of a given 285 measurement, the software marks the field and displays the measured value in a 286 logarithmic evaluation. The sensor fields that show the highest attenuation values 287 defined by TAU-n are marked in either red or black, and this indicates the bone 288 density of an area of BMDJ/FDOJ. TAU-n computes the logarithmic average of the 289 sum of the sensor elements with the lowest density unit as "Average(log)", displayed 290 291 in red (Figure 7, left panel). In the same way, the logarithmic average of the sensor elements with the highest density - equivalent to reduced attenuation by solid 292 293 structures – is displayed in green (Figure 7, right panel). In the following sections and Table 1, the term "TAU-n log" is used to represent the numbers of "Average(log)" 294 displayed by TAU-n. 295

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Figure 7. Sensor elements. **Notes:** Numerical representation of the TAU-n attenuation coefficients for diminished bone density (left panel) and for dense material (right panel). Selected sensor cells (left panel: high attenuation; right panel: low attenuation)
are indicated by a white border. The evaluation is presented in the window beneath for
a number of selected sensor cells; the result is displayed as a logarithmic mean, which
is associated with a corresponding color (i.e., left panel: red = / corresponds to high
attenuation; right panel: green = / corresponds to low attenuation).

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- 309 Problems of acoustic coupling in TAU-n

The practical application of the transducer and receiver with fixed geometrical 310 positions to obtain intraoral ultrasonic measurements (i.e., within the mouth of a 311 patient) with sufficient acoustical conductivity proved to be difficult. The ultrasonic gel, 312 which was placed inside the patient's mouth, was shown to be the main obstacle when 313 attempting to obtain signals from TAU-n in an easy and reproducible manner. The 314 primary difficulty is ensuring that the ultrasonic gel is completely free from air bubbles 315 given the high viscosity of the gel. Air bubbles interfere with obtaining reliable and 316 repeatable measurements. In addition, the study team found that the anatomical 317 contour of the jawbone at the site of measurement and the plane surface of the 318 intraoral receiver did not adequately conform to one another. The distance between 319 320 the surface of the receiver and that of the alveolar ridge was shown to vary widely.

As a solution, a semi-solid gel pad was placed between the receiver and the alveolar ridge of the patient. The sound velocity in the gel used should fall within the same range as that of soft tissue (i.e., 1,460–1615 m/s) and the gel should have a sound attenuation ranging from 0.3–1.5 dB/cm (1 MHz), so as not to impede the acoustical measurements in the jawbone. The haul-off speed for spontaneous

resilience should not exceed 80 mm/s. The semi-solid property of the gel prevents it from evaporating/disappearing before or during the measurement. To perform the measurements, inside the gel pad is a small pocket into which the receiver can be inserted. Following the elimination of any air bubbles between the receiver and the semi-solid gel, the measuring unit is ready for use.

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#### 332 Calibration of TAU-n

The arrangement of the measuring unit in a defined geometry allows for the 333 easy calibration of TAU-n. This functional test is performed with flexible gel pads 334 335 covering both the transmitter and receiver. Figure 8 illustrates the procedure, i.e., the full immersion of both parts into a vessel filled with water. The complete acoustic 336 coupling is visible when all sensor elements show the watermark in the left image of 337 338 the sensor on the computer display. This calibration in a water bath at constant conditions allows for the compensation of possible deviation of the elements as a 339 starting point for the measurement. The calibration test ensures that no air pockets 340 interfere in the arrangement with cushions, gel, and sleeves and that no failure of 341 elements or components leads to misinterpretation. 342



Figure 8. Water test for calibration: Left panel: Transmitter and receiver must be

completely submerged in water. Right panel: All sensor elements show

347 watermarks with the exception of the lower right sensor element.

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#### 350 **Postoperative Method to Determine BMDJ/FDOJ**

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#### 352 Determining BMDJ/FDOJ with RANTES/CCL5 (R/C) expression

BMDJ/FDOJ cavitations contain degenerated adipocytes that exhibit a 353 particular expression profile of the chemokine R/C (Lechner and Mayer, 2010; 354 Lechner and von Baehr, 2013, 2015; Lechner et al., 2017a, 2017b). Hence, 355 BMDJ/FDOJ samples were also analyzed for the expression of the inflammatory 356 immune mediator R/C. Laboratory procedures used to define R/C expression levels in 357 the healthy jawbone and in BMDJ/FDOJ have been previously published; healthy 358 jawbone showed R/C expression levels of 149 pg/mL, while a significant number of 359 BMDJ/FDOJ samples (n=301) among patients with chronic disease (average age: 360 54.05 years; age range: 23–75 years; gender ratio: 89 females to 225 males) showed 361

a 20-fold increase in R/C expression of 2,940 pg/mL (Lechner and Mayer, 2010; 362 Lechner and von Baehr, 2013, 2015; Lechner et al., 2017a, 2017b). BMDJ/FDOJ are 363 the only bone resorption processes that show R/C overexpression (Lechner et al., 364 2018). BMDJ/FDOJ also display a reduction in tumor necrosis factor (TNF)-a and 365 interleukin (IL)-6 expression, while all other bone resorption-related diseases are 366 characterized by TNF-a and IL-6 overexpression. In summary, the recent literature 367 has shown that BMDJ/FDOJ are not only characterized by reduced mineralization and 368 diminished bone density, but also play an important role in osteoimmunological 369 processes. Thus, R/C overexpression alone is involved in the characteristic and bone-370 371 degrading aspect of BMDJ/FDOJ (Lechner et al., 2018).

Based on findings of publications and in the literature (Lechner and Mayer, 2010; 372 Lechner and von Baehr, 2013, 2015; Lechner et al., 2017a, 2017b), it is known that an 373 374 R/C expression level higher than 149 pg/mL indicates the presence of osteonecrosis or osteolysis which has resulted in diminished jawbone density. A control group of 19 375 patients volunteered to provide samples of healthy jawbone, which were removed 376 using drill cores during dental implantation surgery. The inclusion criteria for this group 377 were as follows: the absence of distinctive radiological features in 2D-OPG and 3D-378 DVT: inconspicuous TAU-n measurements of bone density in the implantation area. 379 The use of bisphosphonate medication was the central exclusion criterion. The 380 demographic data of the 19 cases in the BMDJ/FDOJ control group were: average 381 382 age, 51.4 years; age range, 33–72 years; gender (female/male): 10/9.

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Collection of preoperative rel-JBD, HU and TAU-n values and postoperatively measured levels of RANTES/CCL5 expression in a group of 210 patients with BMDJ/FDOJ.

In this study, a patient cohort of 210 subjects that exhibited clinical evidence of 387 BMDJ/FDOJ (i.e., an HU value, a local R/C expression profile, and TAU-n 388 measurements) was identified to investigate our research objective in a clinical setting. 389 The schematic representation in Figure 9 illustrates the four validation parameters 390 discussed and employed in this study. Each of the subjects in this group was 391 assessed with TAU-n. To be included in this group, each patient was required to have 392 the following with respect to the area of BMDJ/FDOJ investigated: positive 393 preoperative TAU-n measurements, low bone density (in HU values), and a 394 postoperative evaluation of R/C expression. We compared the preoperative TAU-n 395 396 and HU values of the research group with the postoperatively obtained laboratory results of R/C expression of the corresponding jawbone areas of BMDJ/FDOJ. 397

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Figure 9. The possible methods used to localize BMDJ/FDOJ. **Notes:** Preoperative 2D-OPG is insufficient, while DVT with the possibility of HU measurement may provide a clear indication of BMDJ/FDOJ. The use of TAU-n as a novel, radiation-free measurement option is evaluated in this report. Postoperative multiplex analysis shows extreme R/C overexpression, providing evidence of inflammation.

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#### 408 Statistical analysis

The statistical analysis was conducted using the statistical software R version 3.5.1. The similarity between the HU and TAU-n methods was verified by means of Spearman's correlation coefficient.

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#### 414 **Results**

### 415 Comparison of preoperative TAU-n and HU values with postoperative evaluation of

416 RANTES/CCL5 expression in a group of 210 patients with BMDJ/FDOJ

After evaluating the detection of BMDJ/FDOJ using TAU-n, we established 417 clinical evidence of the TAU-n attenuation coefficients by comparing and verifying 418 preoperative HU and TAU-n values with the postoperatively determined R/C 419 expression levels of corresponding BMDJ/FDOJ areas. The results are shown in 420 421 Table 1. In Figure 9, we present three preoperative methods and one postoperative method used to assess BMDJ/FDOJ. For this group of 210 patients, we carried out 422 each of these four methods and compared the results, i.e., (B) the preoperative HU 423 424 attenuation coefficients; (C) the corresponding TAU-n attenuation coefficients of BMDJ/FDOJ according to "Average(log)" in the TAU-n software (TAU-n Log in Table 425

# 1; see Figure 7); and (D) the R/C expression levels in the fatty degenerated samples

# 427 obtained during BMDJ/FDOJ surgery (Table 1).

Patient#	OPG	HU	AvLog CaviTAU	RANTES pg/ml
1	0,6	-29,0	1,35	8.212,50
2	0,4	-96,0	1,41	2.762,50
3	0,7	-533,0	0,67	5.700,00
4	0,75	-326,0	4,49	3.250,00
5	0,3	-316,0	0,3	3.925,00
6	0.5	-591.0	0.33	3.762.50
7	0.6	-295.0	0.36	2.162.50
8	0.4	-93.0	0.44	2.187.50
9	0.4	-250.0	0.84	2.850.00
10	0.65	-745.0	1.58	722.50
11	0.55	-263.0	0.84	1.825.00
12	0.5	-311.0	0.46	1 787 50
13	0.45	89.0	0.67	1 725 00
14	0.4	-300.0	1.37	5 387 50
15	0.45	-340.0	0.87	992 50
16	0.5	-306.5	1 04	2 512 50
17	0,0	-228 5	0.82	2 362 50
18	0,0	11.5	0,02	3 862 50
10	0,00	-58.5	0,5	457.50
20	0,0	-659.0	0,04	873 75
20	0,5	-000,0	0,03	706.25
21	0,33	-447,0	0,31	2 825 00
22	0,4	-431,0	0,63	1 165 00
23	0,4	-51,5	1 12	1.105,00
24	0,00	-450,0	1,12	405,00
20	0,45	-305,0	0,09	766.25
20	0,4	-00,0	0,72	F 525 00
21	0,00	-047,0	2,30	7 275 00
20	0,5	54,5	1,32	7.275,00
29	0,6	-549,0	0,62	2.112,50
30	0,4	-130,0	0,76	2.575,00
31	0,65	120,5	0,94	5.562,50
32	0,7	-345,0	0,95	1.612,50
33	0,6	-77,5	0,58	205,00
34	0,65	72,5	1,85	2.962,50
35	0,55	-1/3,0	1,07	1.875,00
36	0,5	-249,0	0,66	267,50
37	0,4	-413,0	0,38	1.750,00
38	0,7	-291,0	0,52	1.887,50
39	0,6	-238,5	1,32	2.000,00
40	0,6	-537,0	1,22	1.337,50
41	0,65	-676,0	0,79	702,50
42	0,4	-62,0	0,54	846,25
43	0,4	-179,5	2,58	408,75
44	0,6	-243,0	1,26	810,00
45	0,4	-560,0	1,5	518,75
46	0,6	-494,0	0,84	486,25
47	0,55	-387,0	0,75	2.875,00
48	0,6	-379,0	1,14	2.737,50
49	0,4	-228,0	0,32	2.425,00
50	0,5	-440,0	0,68	1.078,75
51	0,6	-308,0	0,54	1.800,00
52	0,6	-322,0	1,21	19.125,00

53	0,5	-589,0	2,29	645,00		
54	0,55	-518,0	1,21	1.575,00		
55	0,45	-294,0	0,51	2.187,50		
56	0,55	-671,0	0,89	767,50		
57	57 0,55		1,89	580,00		
58 0,3		-573,0	1,77	8.062,50		
59	0,65	-454,0	0,93	910,00		
60	0,4	99,0	1,57	5.025,00		
61	0,2	-182,5	1,78	4.562,50		
62	0,6	-335,0	1,03	3.725,00		
63	0,4	-288,0	0,79	3.587,50		
64	0,5	-132,0	1,75	840,00		
65	0,6	-202,0	1,03	2.300,00		
66	0.3	-418.0	0.81	5.362.50		
67	0.45	-290.0	1.38	1.637.50		
68	0.6	-41.0	0.96	636.25		
69	0.4	-184.0	1.67	2.200.00		
70	0.5	-227.0	1,11	863.75		
71	0.6	-198.0	1.38	1 587 50		
72	0.45	-261.0	1,65	3 087 50		
73	0.55	-543.0	1 01	3 937 50		
74	0,00	-363.0	1,01	1 275 00		
75	0.45	-268.0	0.32	10 150 00		
76	0,40	-110.0	1.69	573 75		
77	0,00	-248.0	1,00	1 337 50		
78	0,75	-240,0	1,01	611.25		
70	0,00	264.0	2.51	803.75		
80	0,33	-204,0	0.86	2 100 00		
81	81 0.5		1.05	2.100,00		
01	0,5	-034,0	1,00	1 775 00		
02	0,75	-100,0	1,55	1.775,00		
03	83 0,4		0,79	1.400,00		
04	0,4	123,0	1,37	1.600,00		
85	0,4	-222,0	0,77	303 75		
80	0,6	-36,0	1,23	303,75		
87	0,4	-5,0	1,15	1.215,00		
00	0,25	-213,0	1,52	412,50		
89	0,5	88,0	1,24	495,00		
90	0,25	-347,0	1,19	1.600,00		
91	0,45	45,0	1	1.725,00		
92	0,4	-38,0	0,47	527,50		
93	0,5	160,0	1,28	3.612,50		
94	0,5	-313,0	0,82	1.337,50		
95	0,55	119,0	1,38	1.192,50		
96	0,4	-196,0	0,8	1.246,25		
97	0,55	-17,0	0,96	638,75		
98	0,3	-457,0	0,47	6.512,50		
99	0,3	-373,0	0,7	456,25		
100	0,5	-209,0	1,25	3.275,00		
101	0,4	-438,0	0,81 2.262			
102	0,5	-38,0	0,88	447,50		
103	0,45	-404,0	0,47	746,25		
104	0,35	-170,0	1,64	1.400,00		

105	0.4	106.0	1.07	426.05	150	0.25	220.0	0.59	E10 7E
105	0,4	120,0	1,07	430,25	150	0,35	320,0	0,58	1 475 00
100	0,55	103,0	1,05	500,75	159	0,4	-106,0	0,36	1.475,00 E 17E 00
107	0,4	96,0	0,84	1.312,50	160	0,0	-23,0	1,04	5.175,00
108	0,7	162,0	0,97	1.500,00	101	0,4	-500,0	1,20	073,75
109	0,6	-66,0	1,12	223,75	162	0,5	-55,0	1,28	2.637,50
110	0,45	-105,0	1,21	373,75	163	0,45	-305,0	0,85	486,25
111	0,5	-20,0	0,98	277,50	164	0,5	93,0	1,9	460,00
112	0,5	-208,0	1,58	705,00	165	0,55	42,0	0,75	457,50
113	0,6	-264,0	1,8	1.912,50	166	0,5	59,0	1,46	1.312,50
114	0,6	-83,0	0,81	3.962,50	167	0,5	-175,0	0,96	5.462,50
115	0,6	-38,0	0,67	432,50	168	0,4	-450,0	1,07	11.437,50
116	0,4	-348,0	1,33	1.675,00	169	0,5	107,0	1,66	1.163,75
117	0,4	150,0	1,17	311,25	170	0,45	-8,0	1,86	650,00
118	0,4	-166,0	1,35	1.023,75	171	0,6	192,0	1,56	1.300,00
119	0,55	144,0	1,37	996,25	172	0,35	43,0	0,62	5/3,/5
120	0,45	-94,0	1,71	498,75	173	0,45	-120,0	0,73	190,00
121	0,5	41,0	2,67	3.187,50	174	0,6	-96,0	1,35	966,25
122	0,5	-157,0	1,4	417,50	175	0,55	-58,0	1,09	3.137,50
123	0,45	-291,0	0,61	1.325,00	176	0,25	-69,0	0,77	3.225,00
124	0,6	77,0	1,17	917,50	177	0,5	-420,0	0,94	978,75
125	0,35	-96,0	1,89	1.687,50	178	0,4	-225,0	0,84	2.675,00
126	0,4	4,0	0,98	228,75	179	0,35	123,0	0,89	2.287,50
127	0,25	-183,0	1,58	355,00	180	0,6	-115,0	0,7	1.825,00
128	0,45	-43,0	1,18	407,50	181	0,3	-63,0	1,31	1.250,00
129	0,4	-147,0	0,36	541,25	182	0,4	-175,0	1,57	1.108,75
130	0,4	-145,0	0,73	408,75	183	0,6	97,0	1,79	1.425,00
131	0,4	-245,0	0,73	572,50	184	0,5	2,0	1,56	1.750,00
132	0,65	150,0	1,24	1.600,00	185	0,55	179,0	1,5	647,50
133	0,4	-87,0	1,59	586,25	186	0,45	40,0	1,89	968,75
134	0,2	-138,0	1,38	1.287,50	187	0,4	65,0	0,67	733,75
135	0,5	-27,0	1,09	945,00	188	0,7	200,0	1,41	555,00
136	0,35	-257,0	1,22	647,50	189	0,5	-44,0	1,23	1.950,00
137	0,35	-120,0	0,31	267,50	190	0,35	-58,0	1,44	631,25
138	0,35	-116,0	0,95	233,75	191	0,4	-116,0	1,34	2.362,50
139	0,55	-30,0	1,38	572,50	192	0,35	-293,0	1,28	338,75
140	0,4	150,0	1,57	673,75	193	0,35	153,0	0,71	985,00
141	0,6	-155,0	1,12	2.862,50	194	0,5	-316,0	1,32	1.600,00
142	0,55	157,0	1,11	691,25	195	0,2	-231,0	0,35	4.574,00
143	0,5	-127,0	0,67	1.650,00	196	0,5	-162,0	1,09	3.400,00
144	0,3	-110,0	0,93	565,00	197	0,4	-94,0	0,73	1.675,00
145	0,55	84,0	0,84	1.137,50	198	0,6	167,0	1,89	370,00
146	0,45	-414,0	0,87	8.087,50	199	0,45	-62,0	1,25	324,00
147	0,45	-122,0	1,53	1.217,50	200	0,55	-327,0	1,01	1.132,00
148	0,4	-145,0	1,5	4.075,00	201	0,6	-210,0	1,02	863,00
149	0,6	170,0	0,67	562,50	202	0,35	-197,0	1,42	2.350,00
150	0,5	97,0	0,97	1.337,50	203	0,5	-550,0	1,88	1.850,00
151	0,45	197,0	0,5	1.875,00	204	0,6	-290,0	0,32	863,00
152	0,6	-117,0	1,6	950,00	205	0,5	-68,0	1,22	2.887,00
153	0,4	-363,0	1,95	1.111,25	206	0,3	-192,0	1,48	7.912,00
154	0,35	16,0	1,26	4.437,50	207	0,5	63,0	1,56	1.625,00
155	0,55	-123,0	0,76	2.750,00	208	0,5	37,0	1,1	2.237,00
156	0,4	23,0	1,58	370,00	209	0,45	-57,0	1,28	950,00
157	0,35	52,0	1,48	370,00	210	0,6	-154,0	0,73	661,00
						0,48	-165,7	1,2	1950,38

Table 1: This table presents the four values measured to assess BMDJ/FDOJ for a 433 group of 210 patients. The four relevant values are listed individually for each patient. 434 The mean value obtained preoperatively for 2D-OPG was a relative bone density of 435 0.48; for 3D-DVT HU the value was -165.7 (norm = >300) and for CaviTAU® 436 AverageLog the value was 1.2 (normal bone density >2.0). For R/C expression, the 437 mean was 1,950.38 pg/ml (norm = 149.9 pg/ml). Notes: Comparison of preoperative 438 HU attenuation coefficients and corresponding TAU-n attenuation coefficients (TAU-n 439 Log; columns in grey), and postoperatively measured levels of R/C expression 440 (RANTES pg/mL) from the samples obtained during surgical treatment for 441 442 BMDJ/FDOJ (columns in blue). MV refers to the medium values obtained in the course of our research, and the final row compares the corresponding values of 443 healthy jawbone found in the literature (HU; Guglielmi and de Terlizzi, 2009; Komar 444 445 et al., 2019; Mah et al., 2010) and RANTES levels (pg/mL; Klein et al., 2008; Lechner and Mayer, 2010; Lechner and von Baehr, 2013, 2015; Lechner et al., 2017a, 446 447 2017b).

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## 449 Comparison of rel-JBD, HU, and TAU-n values of healthy jawbone

To ensure that TAU-n generates significantly higher attenuation values in 450 jawbone where BMDJ/FDOJ is not present, we measured rel-JBD, HU, and TAU-n 451 values in healthy jawbone. To obtain valid negative results, we focused on bone 452 marrow areas beneath healthy molar teeth. The process of determining rel-JBD and 453 HU was shown above in Figure 1. The results obtained for healthy jawbone in 10 454 patients are presented in Table 2. R/C values were unable to be measured as 455 surgical intervention in areas of healthy jawbone was not possible for ethical 456 457 reasons.

458

459 Table 2

460

Patient	area	OPG	HU	TAU	
pat#1		37	0,55	272	7,02
pat#2		37	0,5	599	8,49
pat#3		47	0,55	97	4,46
pat#4		37	0,45	193	7,14
pat#5		36	0,55	678	6,89
pat#6		37	0,45	271	11,51
pat#7		36	0,35	744	6,71
pat#8		46	0,6	306	10,51
pat#9		47	0,4	329	6,16
pat#10		37	0,4	315	9,79
MV			0,48	380,4	7,868

461

Table 2. Measurement of rel-JBD, HU, and TAU-n values in healthy jawbone.

463

464 Comparison of rel-JBD, HU, and TAU-n values of healthy jawbone and BMDJ/FDOJ 465 areas

The bone density measured in healthy jawbone and BMDJ/FDOJ areas are compared as mean values. There is clear agreement in the rel-JBD values obtained with 2D-OPG, (4,8 BMDJ/FDOJ : 4,8 healthy), while the HU values (-165 BMDJ/FDOJ : 380 healthy) and particularly TAU-n values (1,2 BMDJ/FDOJ : 7,8 healthy) differ significantly (Figure 10).



Figure 10. This graph shows the comparison of relative bone density values
determined with 2D-OPG, attenuation coefficients in HU (1:100) and TAU-n values in
healthy and BMDJ/FDOJ collectives.

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477

#### 478 Discussion

# 479 On "bone marrow defects" and 2D-OPG

In order to compare the results documented in Table 1 in terms of their clinical 480 significance, we calculated 10 mean values of jawbone density measurements 481 obtained with 2D-OPG from three different dental colleagues with available 482 radiographs. The five control parameters comprised the following measurements: 483 cortical bone on the mandibular branch, all-ceramic crown, the canal of infra alveolar 484 nerve, cancellous bone normal and cyst lumen. Figure 11 shows these values in 485 blue. Bone density values in areas of BMDJ/FDOJ collected from the cohort of 210 486 patients are presented in red. 487





Figure 11: Comparison of various density values with BMDJ/FDOJ values obtained 489 using 2D-OPG. This shows that normal bone density measured in healthy spongial 490 cancellous bone structure with a value of 0.5 is only slightly "denser" than the mean 491 value of the 210 BMDJ/FDOJ areas we examined with a medium value of 0.48. This 492 explains, in part, the/is one reason why there is widespread doubt among dentists in 493 the discussion about/concerning the actual existence of BMDJ/FDOJ. In summary, a 494 critical detection of medullary bone density in BMDJ/FDOJ areas is not possible with 495 496 2D-OPG (Lechner J. 2014)

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#### 500 On "bone marrow defects" and 3D-DVT

501 As with the 2D-OPG radiographs, in order to compare the results documented 502 in Table 1 in terms of their clinical significance, we calculated 10 mean values of 3D-503 DVT measurements from three different dental colleagues with existing radiographs,

as above. Figure 12 shows these HU values in blue. The bone density value of -169
HU in the BMDJ/FDOJ areas collected from the cohort of 210 patients is presented in
red.



#### 507

508 Figure 12: Comparison of a wide variety of density values with BMDJ/FDOJ values 509 obtained with 3D-DVT. This shows that the HU value of -169 produced by the 510 reduced X-ray attenuation in the softened BMDJ/FDOJ areas is significantly less than 511 the minimum value of 300 reported as healthy in the literature.

A reliable assessment of the medullary bone density in areas of BMDJ/FDOJ is possible with the HU values derived using high-quality 3D-DVT (Loubele M et al. 2008. Roberts JA, Drage NA, Davies J, Thomas DW. 2009). However, this method of examination requires a relatively high radiation exposure. Furthermore, DVT devices which provide the HU measurement necessary are costly. In our experience, inexpensive DVT units fail to achieve the requisite quality and lead to incorrect assessments based on purely subjective evaluation.

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# 522 On "bone marrow defects" and TAU-n

When the TAU-n AverageLog values are compared with the DVT-HU values determined in this study, both correspond to reduced bone density, which infers the presence of BMDJ/FDOJ. Further, the general correlation of HU and R/C multiplex analysis with the "AverageLog" values generated using the TAU-n software may be confirmed. In previous publications, light microscopy also confirmed the reduction of bone density determined by the CaviTAU® AverageLog values (Lechner J, Zimmermann B, Schmidt M, von Baehr V. 2020)

530

# 531 The threshold for which TAU-n Log indicates BMDJ/FDOJ

As shown in Table 1, the mean value of 210 TAU-n measurements in BMDJ/FDOJ areas is 1.2 with a range of 0.3 (#5) to 1.95 (#153). Accordingly, we defined the threshold for which a TAU-n Log indicates diminished bone density that corresponds to a BMDJ/FDOJ area at a TAU-n scale of 2. A TAU-n value of 2.29 with respect to patient #53 was the only measurement determined beyond the threshold of 2, however, a four-fold overexpression of R/C was also detected in this case.

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#### 540 RANTES/CCL5 expression in BMDJ/FDOJ

The values of R/C expression in the samples of BMDJ/FDOJ analyzed postoperatively with multiplex methods in the laboratory average at 1,950.38 pg/ml, which is 13 times the normal value of 149.9 pg/ml found in healthy jawbone previously published by the authors (Lechner J, von Baehr 2013). First and foremost, the application of TAU-n allows for the use of low radiation levels in the stress-free detection of mineralization and metabolic disorders in the medullary region of the

jawbone. Medical devices that aim to measure specific phenomena must be able to 547 548 consistently reproduce their results. In this respect, the measurements obtained with TAU-n are reliable and primarily free of operator errors, as the TAU-n transmitter and 549 receiver are positioned along a coplanar axis in a fixed arrangement. This ensures 550 the necessary independence from the operator and the reproducibility of TAU-n 551 measurements. Errors in acoustic coupling are avoided by displaying a gray sensor 552 553 field, which is not associated with ultrasound transmission during the measurement 554 process.

555

# 556 *Limits in the comparability of the measured HU and TAU-n values*

A 1:1 correlation of the measured values obtained with DVT in HU and using TAU-n 557 is not possible since both examination methods are physically different and thus 558 559 measure different distances in the jaw. However, a general technical correlation may be made as follows: The measured HU values correspond to a selected cross-560 sectional slice of the jaw, while TAU-n penetrates through the entire distance from 561 the transmitter to the sensor and thus reproduces the typical reflective and scattering 562 properties of ultrasound. As such, TAU-n is unable to isolate particular sections 563 within the jawbone. Furthermore, the attenuation coefficients of both methods behave 564 in completely opposite ways. With HU, the denser the irradiated object, the greater 565 the positive attenuation coefficients and the lower the transmission. With TAU-n, the 566 greater the density of the object to be examined, the lower the attenuation 567 coefficients and, thus, the greater the sound transmission. A relationship between the 568 two methods may still be established, however, as conspicuous areas assessed 569 using HU are also detectable with TAU-n and vice versa. To ensure that TAU-n is a 570 reliable indicator of poor bone quality, this approach should be validated in patients 571 without BMDJ/FDOJ. Here, we face an ethical obstacle, as patients with HU values 572

>300 and TAU-n Log >2 are inappropriate candidates for jawbone surgery. Thus, it
is not possible to obtain R/C values in such cases. As such, the study design
employed is unable to fully answer the initial question posed in this study.

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#### 578 Summary

The interest in the application of TAU-n lies in the decrease in bone density in 579 BMDJ/FDOJ due to osteolysis. The upper limit of DVT HU values of interest with 580 respect to BMDJ/FDOJ is +300, as at this point there is a transition to healthy 581 582 cancellous bone. Values over +300 HU thus fall outside the necessary detection range of TAU-n. The HU values produced in this study (range: -680 to +150) indicate 583 BMDJ/FDOJ in class 5 cases (Mah et al., 2010). The data presented here shows 584 585 that HU values demonstrate osteolysis and these values also correspond to R/C overexpression in BMDJ/FDOJ areas (Lechner et al., 2018). When the data derived 586 from both methods used to evaluate BMDJ/FDOJ (i.e., HU values and R/C 587 expression) are compared with the TAU-n results, there is a correlation between the 588 attenuation coefficients of HU and TAU-n. Thus, it may be assumed that TAU-n, 589 which uses ultrasound waves, is able to provide an accurate representation of the 590 degrees of mineralization and bone density in the jawbone area. 591

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Using the AverageLog values generated with TAU-n, we confirmed a general
 correspondence between HU values and R/C multiplex analysis in a cohort of
 210 BMDJ/FDOJ patients.

Table 1 shows two cases (#53 = 2.29 and #123 = 2.67) with an AverageLog
value of >2 from the total of 210 cases.

Here, HU values and postoperatively measured levels of cytokine expression
 confirm the reliability of TAU-n measurements with respect to displaying
 decreased bone density in cases of BMDJ/FDOJ.

601

#### 602 **Conclusion**

A newly developed ultrasonography device (TAU-n) is able to detect and 603 localize BMDJ/FDOJ caused by the fatty degenerative dissolution of medullary 604 trabecular structures in the jawbone. As other studies have confirmed (Guglielmi 605 and de Terlizzi, 2009; Komar et al., 2019), ultrasonography is a low cost and 606 607 efficient means of assessing jawbone health, and this was replicated with the use of the new TAU device presented here. This study established a new value using 608 TAU-n which provides a reliable indicator of poor bone quality, rendering the device 609 610 a useful tool for treatment planning strategies in implantology, as well as for fostering cooperation professionals when assessing 611 among or treating 612 osteoimmunological diseases and linking such diseases with the immune system. TAU-n thus provides a non-harmful alternative to the use of X-ray irradiation, which 613 is increasingly criticized (Brenner et al., 2001; Vano et al., 2017), particularly in view 614 of more stringent radiation protection laws (Strahlenschutzgesetz, 1966). TAU-n 615 represents a novel type of imaging acquisition process in dentistry and offers the 616 ability to non-invasively assess hidden BMDJ/FDOJ in the human jawbone. Further 617 extensive clinical trials and multicenter comparative measurements examining TAU-618 n should be carried out to establish a new classification based on ultrasound and 619 perform a reliability assessment. 620

621

622 Limitations

The limitations of this study include the sample size employed. Bias may also be present due to the fact that not all parameters were validated in the healthy jawbone patient cohort. For ethical reasons, surgical intervention and the measurement of R/C expression in healthy jawbone, without any sign of BMDJ/FDOJ, was not applicable.

628

# 629 Conflicts of Interest

CaviTAU® (Munich, Germany), the company that designed the new TAU-n 630 apparatus and associated software, provided these tools without charge for the 631 purposes of this study. The ultrasonography procedure was carried out at the Clinic 632 for Integrative Dentistry (Munich, Germany). CaviTAU® and the Clinic for Integrative 633 Dentistry are engaged in ongoing discussions regarding numerous collaborative 634 635 arrangements to further improve and verify the new TAU apparatus, CaviTAU®, as it is introduced to the market. The corresponding author is the holder of a patent used 636 637 in CaviTAU®.

638

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